

PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

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Total Number of Pages in This Submission

15

Application Number 09/720,277

Filing Date 12/21/2000

First Named Inventor Hannel, et al.

Art Unit 2142

Examiner Name Thong H. Vu

Attorney Docket Number intdyn01.017

ENCLOSURES (Check all that apply)

- | | | |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input checked="" type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement

<input type="checkbox"/> Certified Copy of Priority Document(s)
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Incomplete Application
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under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers

<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a
Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address
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<input type="checkbox"/> CD, Number of CD(s) _____
<input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC

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of Appeals and Interferences

<input type="checkbox"/> Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)
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below):
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|---|--|---|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Gordon E. Nelson, Patent Attorney PC		
Signature			
Printed name	Gordon E. Nelson		
Date	Jan. 24, 2005	Reg. No.	30,093

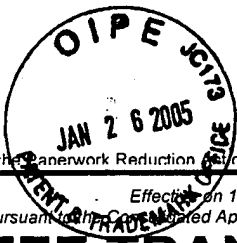
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Signature			
Typed or printed name	Gordon E. Nelson, #30,093	Date	1/24/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 200

Complete if Known

Application Number	09/720,277
Filing Date	12/21/2000
First Named Inventor	Hannel, et al.
Examiner Name	Thong H. Vu
Art Unit	2142
Attorney Docket No.	intdyn01.017

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 501315 Deposit Account Name: Gordon E. Nelson, Patent Atty

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, **except for the filing fee**

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25
200	100
360	180

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
24 - 20 or HP =	4	x 50 =	200

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	x	=	

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

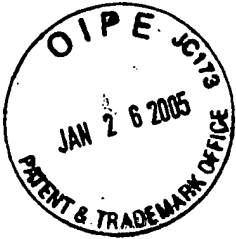
Other (e.g., late filing surcharge): _____

Fees Paid (\$)**SUBMITTED BY**

Signature	<u>Gordon E. Nelson</u>	Registration No. (Attorney/Agent) 30,093	Telephone 978-948-7632
Name (Print/Type)	Gordon E. Nelson	Date 1/24/2005	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(intdyn01.017)

5 **Applicant:** Hannel, et al.

Paper No.:

Application No: 09/720277

Group Art Unit: 2142

Filed: Dec. 21, 2000

Examiner: Thong H. Vu

10

Title: *Generalized policy server*

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Assistant Commissioner for Patents
15 Washington, DC 20231

Response to non-final Office action under 37 C.F.R. 1.111

Summary of the prosecution

20 This patent application is the U.S. National Phase of PCT/US99/145,585, filed 6/28/99, which claims priority from U.S. provisional patent application 60/191,130, filed 6/29/98. Claims 1-12 are pending. In his first Office action mailed 10/25/2004, Examiner rejected claims 8-12 under 35 U.S.C. 101 as directed to non-statutory subject matter and under 35 U.S.C. 112, first and second paragraphs, and objected to claims 5-7 under 35 C.F.R.

25 1.75(c) as having improper forms for multiply-dependent claims. Examiner further rejected claims 1-13 (sic) under 35 U.S.C. 102(e) as being anticipated by U.S. patent 5,870,561, Jarvis, et al., *Network traffic manager server for providing policy-based recommendations to clients*, filed Mar. 15, 1996 and issued Feb. 9, 1999, henceforth "Jarvis". Applicants are amending their claims to overcome the rejection under 35

30 U.S.C. 101, are traversing the rejections under 35 U.S.C. 112, and are traversing the rejections under 35 U.S.C. 102(e). Applicants are further amending claim 1 to clarify it and are adding a new claim 13 dependent from claim 1.

Please amend the claims as follows: